

854737.0005 (TARB-101.1)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Brian C. Tarbox et al.	)	Examiner:
Serial No.: 10/073,632	)	Group Art Unit: 2164
Filed: February 11, 2002	)	Confirmation No.: 6664
For: SYSTEMS AND METHODS FOR	)	
IMPROVING INVESTMENT	)	
PERFORMANCE	)	

*Please  
enter.  
EL  
10-603  
#9/a  
10/11/03*

Mail Stop: Petitions  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Prior to the examination of the above-referenced application, please amend this application as follows: